

Total Neutrino and Antineutrino Nuclear Cross Sections around 1 GeV

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based on a collaboration with O. Benhar

hep-ph/0610403

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Plan of the talk

1 Introduction

present status of
neutrino oscillations

{ what we know – we do not know
future experimental facilities

2 Inclusive elastic neutrino-nucleus cross section

- the IA regime
- the (ν_e - ^{16}O) cross sections
- comparison with other calculations

3 Resonance production

- importance of including resonances in the calculation
- total cross section

4 Conclusions and outlooks

Introduction

- Present State of Neutrino Oscillation Parameters

Solar Sector	$\left\{ \begin{array}{l} \Delta m_{12}^2 \sim 8.2 \cdot 10^{-5} \text{ eV}^2 \\ \sin^2 2\theta_{12} \sim 0.80 \end{array} \right.$
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Atm Sector	$\left\{ \begin{array}{l} \Delta m_{23}^2 \sim 2.5 \cdot 10^{-3} \text{ eV}^2 \\ \sin^2 2\theta_{23} > 0.9 \end{array} \right.$	$\begin{array}{l} \text{sign}(\Delta m_{23}^2) ? \\ \text{sign}(\sin 2\theta_{23}) ? \end{array}$
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STILL MISSING

θ_{13} poorly known ($\theta_{13} < 13^\circ$) \longrightarrow 3 Family Oscillations ?

δ completely unknown \longrightarrow Leptonic CP-violation ?

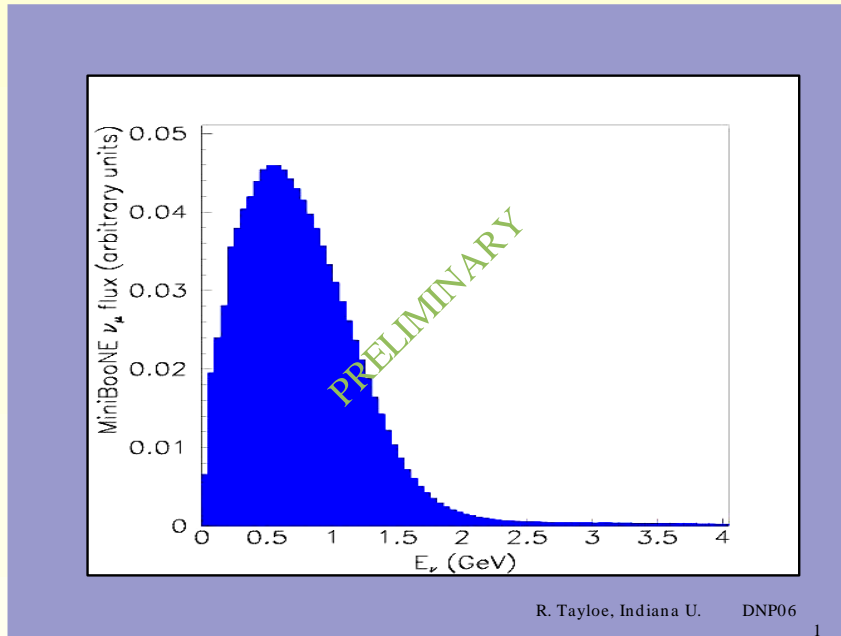
High precision neutrino experiments required

to fully understand the neutrino mixing parameters

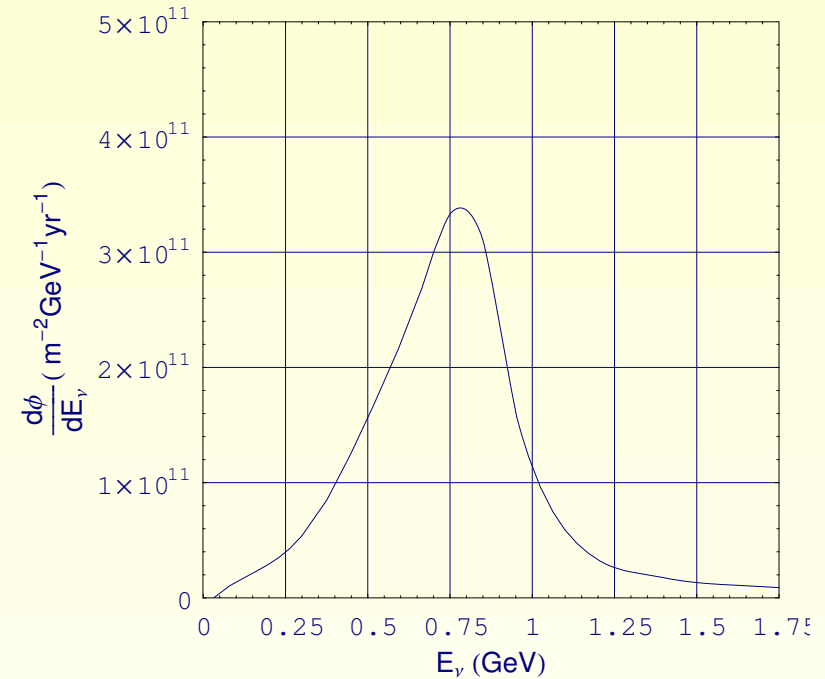
Why cross sections around 1 GeV

many current and planned experiments use a ν flux picked at ~ 1 GeV

MiniBoone



T2K-I



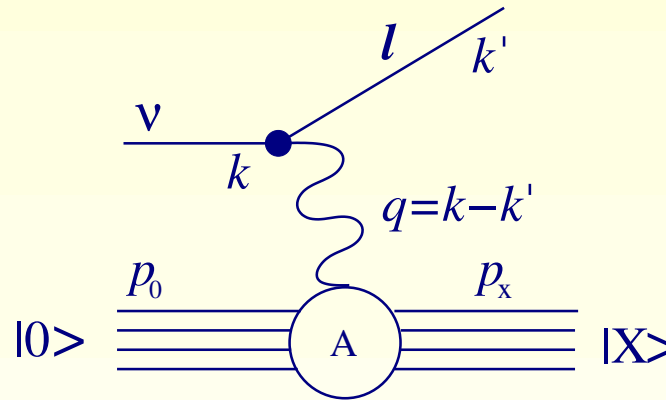
and many others (NO ν A, high γ β -beams...)

- very few neutrino scattering data
- data have generically not been taken on the same targets used in the experiments

important to know very precisely the ν -nucleus cross sections at $E_\nu \sim 1$ GeV

The cross sections

- at low energies ($E_\nu \leq 0.6 - 0.7$ GeV): the dominant contribution comes from **quasi-elastic** scattering;
- at higher energies: **inelastic production** of charged leptons (via resonance excitation) + inelastic production of π^0 also contribute
- negligible deep inelastic scattering contribution
- **formalism to describe inclusive $\nu + A \rightarrow l + X$ reaction**



$$\frac{d^2\sigma}{d\Omega dE_l} = \frac{1}{16\pi^2} \frac{|\mathbf{k}'|}{|\mathbf{k}|} L_{\mu\nu} W_A^{\mu\nu}$$

$$L^{\mu\nu} = 8 \left[k'_\mu k'_\nu + k'_\nu k'_\mu - (k \cdot k') - i \varepsilon_{\mu\nu\alpha\beta} k'^\beta k^\alpha \right]$$

$$W_A^{\mu\nu} = \sum_X \langle 0 | J_A^\mu | X \rangle \langle X | J_A^\nu | 0 \rangle \delta^{(4)}(p_0 + q - p_X)$$

The cross sections

the problem is the calculation of the hadronic tensor $W_A^{\mu\nu}$

- for $|\mathbf{q}| < 0.5 \text{ GeV}$ NMBT + nonrelativistic wave functions + expansion of the current operator in powers of $|\mathbf{q}|/m_N$
Carlson&Schiavilla, Rev. Mod. Phys. 70, 743 (1998)
- for larger $|\mathbf{q}|$ (to which we are interested in) we can no longer describe the final states $|X\rangle$ in terms of nonrelativistic nucleons



we need a set of simplifying assumptions to describe relativistic motion of final state particles and the occurrence of inelastic processes

the Impulse Approximation

target nucleus seen as a collection of individual nucleons

$$J_\mu \rightarrow \sum_i j_\mu^i$$

scattered nucleons and recoiling system \mathcal{R} evolve independently of one another

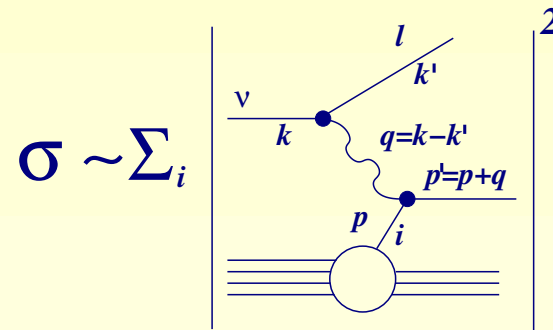
$$|X\rangle \rightarrow |i, p'\rangle \otimes |\mathcal{R}, p_{\mathcal{R}}\rangle$$

(no Final State Interactions)

The cross sections

Benhar et al.,

Phys.Rev.D72:053005,2005



$$W_A^{\mu\nu} = \frac{G_F^2 V_{ud}^2}{2} \int d^3 p dE P(\mathbf{p}, E) \frac{1}{4 E_{\mathbf{p}} E_{|\mathbf{p}+\mathbf{q}|}} W^{\mu\nu}(\tilde{\nu}, \tilde{\mathbf{q}}) \delta(\nu - E - E_{|\mathbf{p}+\mathbf{q}|})$$

- $P(\mathbf{p}, E)$ is the **spectral function**: probability distribution of finding a nucleon with momentum \mathbf{p} and removal energy E in the target nucleus

it encodes all the informations about the initial struck particle

- $W^{\mu\nu}$ describes electroweak interactions of the i -th nucleon in free space
- effect of nuclear binding of the struck nucleon is accounted for by the replacement

$$q = (\nu, \mathbf{q}) \rightarrow \tilde{q} = (\tilde{\nu}, \mathbf{q}) \quad \text{with } \tilde{\nu} = E_{|\mathbf{p}+\mathbf{q}|} - E_{\mathbf{p}}$$

(a fraction $\nu - \tilde{\nu}$ goes into excitation energy of the spectator system)



The cross sections

then we get

$$\frac{d^2\sigma_{IA}}{d\Omega dE_l} = \int d^3p dE P(\mathbf{p}, E) \frac{d^2\sigma_{\text{elem}}}{d\Omega dE_l} \delta(\nu - E - E_{|\mathbf{p}+\mathbf{q}|})$$

$$\frac{d^2\sigma_{\text{elem}}}{d\Omega dE_l} = \frac{G_F^2 V_{ud}^2}{32 \pi^2} \frac{|k'|}{|k|} \frac{1}{4 E_{\mathbf{p}} E_{|\mathbf{p}+\mathbf{q}|}} L_{\mu\nu} W^{\mu\nu}$$

- The hadronic tensor is decomposed in structure functions as usual

$$W^{\mu\nu} = -g^{\mu\nu} W_1 + \tilde{p}^\mu \tilde{p}^\nu \frac{W_2}{m_N^2} + i \varepsilon_{\mu\nu\alpha\beta} \tilde{q}^\alpha \tilde{p}^\beta \frac{W_3}{m_N^2} + \tilde{q}^\mu \tilde{q}^\nu \frac{W_4}{m_N^2} + (\tilde{p}^\mu \tilde{q}^\nu + \tilde{p}^\nu \tilde{q}^\mu) \frac{W_5}{m_N^2}$$

- the formalism can be applied to **both** elastic and anelastic processes specifying the form of the structure functions W_i

Elastic interactions

1- tensor contraction

$$L^{\mu\nu} W_{\mu\nu} = 16 \sum_i W_i \left(\frac{A_i}{m_N^2} \right)$$

$$A_1 = m_N^2 (k \cdot k')$$

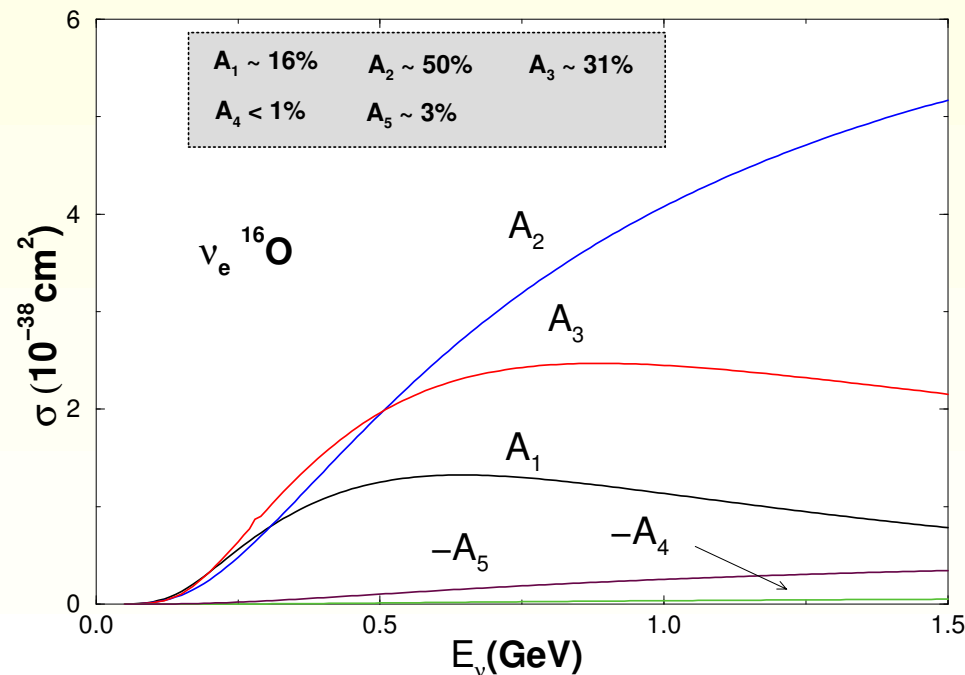
$$A_2 = (k \cdot \tilde{p})(k' \cdot \tilde{p}) - \frac{m_N^2}{2} A_1$$

$$A_3 = (k \cdot \tilde{p})(k' \cdot \tilde{q}) - (k \cdot \tilde{q})(k' \cdot \tilde{p})$$

$$A_4 = (k \cdot \tilde{q})(k' \cdot \tilde{q}) - \frac{\tilde{q}^2}{2} A_1$$

$$A_5 = (k \cdot \tilde{p})(k' \cdot \tilde{q}) + (k' \cdot \tilde{p})(k \cdot \tilde{q}) - (\tilde{q} \cdot \tilde{p}) A_1$$

- A_i reduce to the usual coefficients for free nucleons in the limit $(\tilde{p}, \tilde{q}) \rightarrow (p, q)$
- $A_{4,5}$ DO NOT DEPEND linearly on m_l
- $W_i = W_i(F_1, F_2, F_A, F_P)$
- dipole structure for form factors



Elastic interactions

2- the spectral function $P(\mathbf{p}, E)$

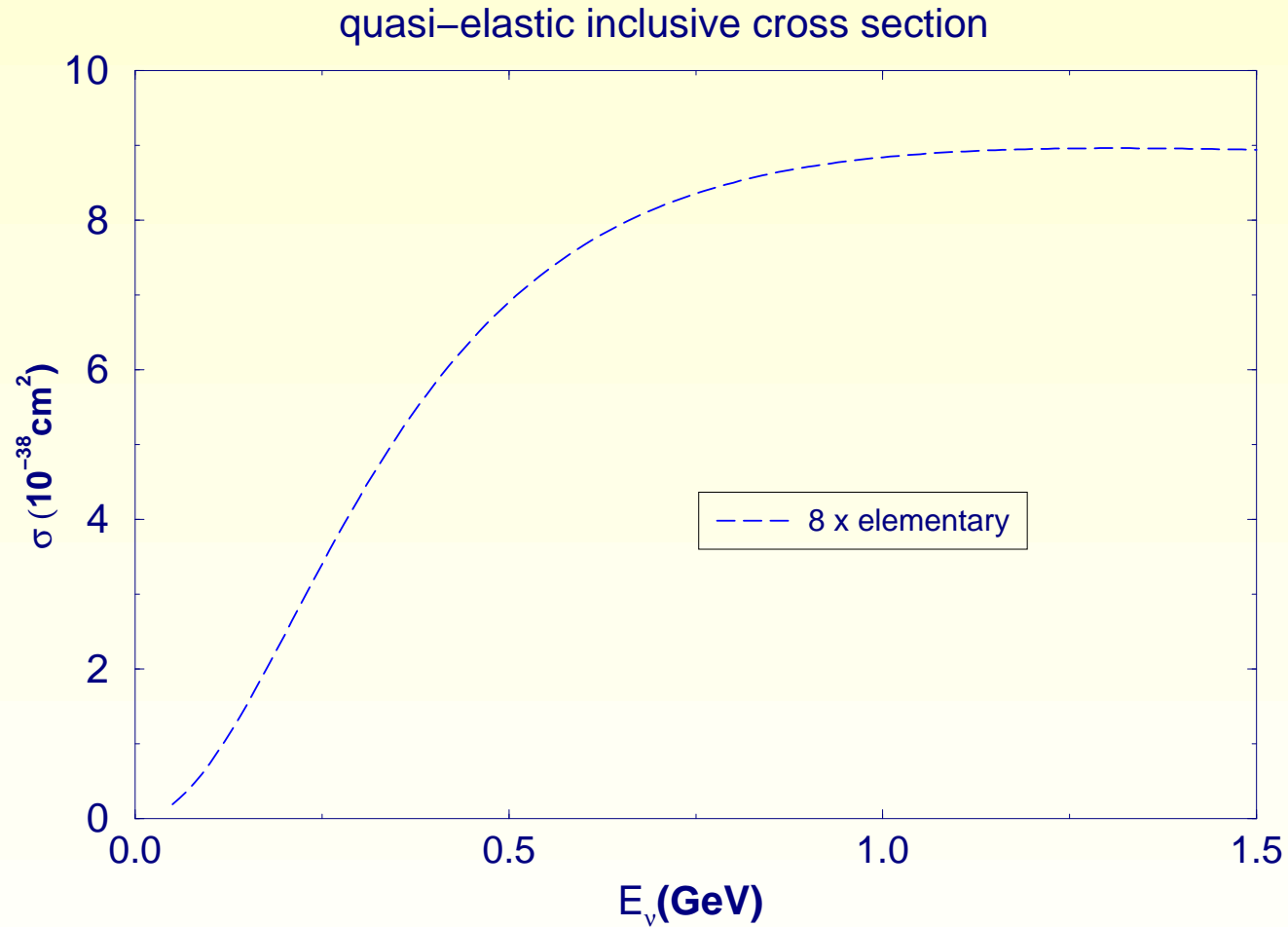
- we analyzed different models for $P(\mathbf{p}, E)$ for $^{16}\text{O}(\nu_e, e^-)$

Elastic interactions

2- the spectral function $P(\mathbf{p}, E)$

- we analyzed different model for $P(\mathbf{p}, E)$ for $^{16}\text{O}(\nu_e, e^-)$

to start: the elementary cross section



Elastic interactions

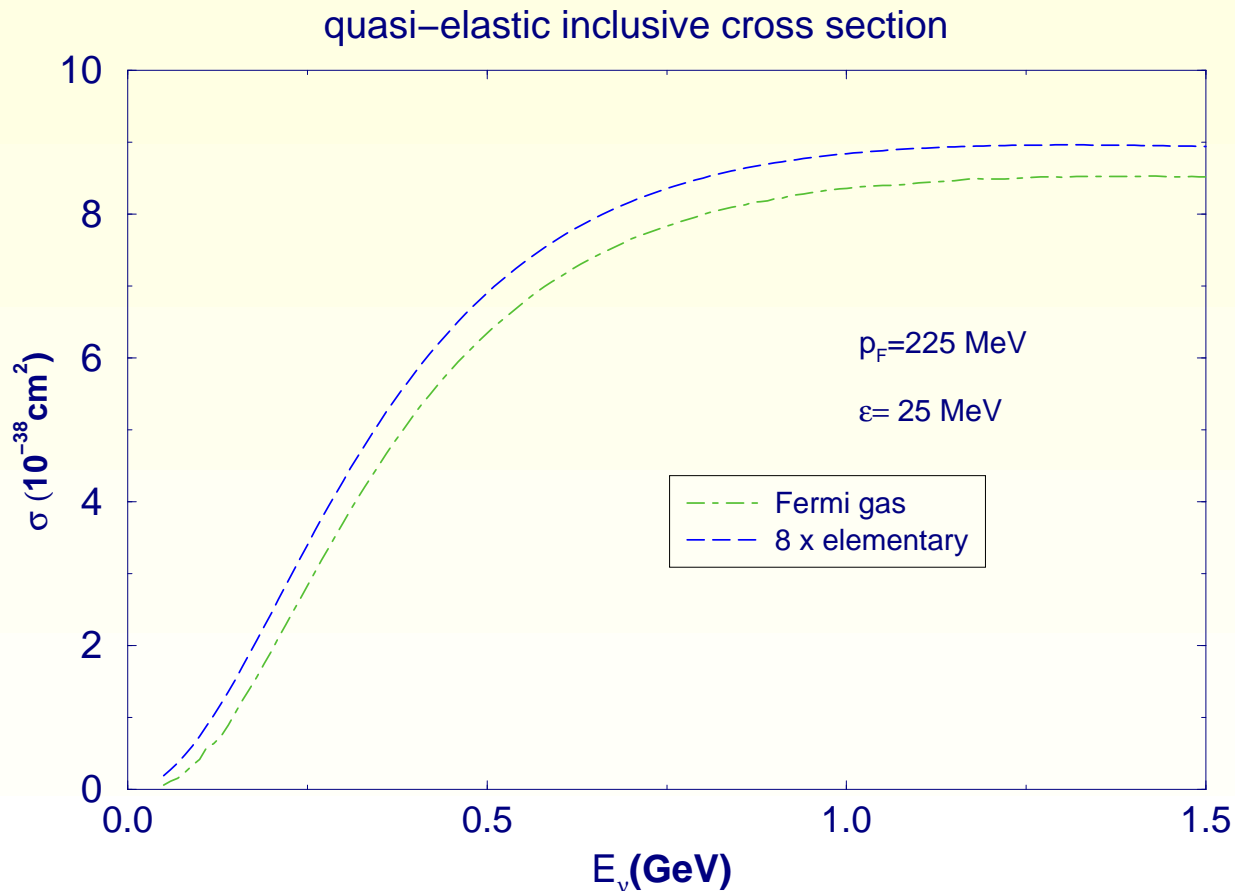
2- the spectral function $P(\mathbf{p}, E)$

- we analyzed different models for $P(\mathbf{p}, E)$ for $^{16}\text{O}(\nu_e, e^-)$

a simple prescription: the Fermi gas

$$P(\mathbf{p}, E) = \left(\frac{6 \pi^2 A}{p_F^3} \right) \theta(p_F - \mathbf{p}) \delta(E_{\mathbf{p}} - \varepsilon + E)$$

where p_F is the Fermi momentum and ε is the average binding energy



- reduction of x-sect due to cut in nucleon momentum

Elastic interactions

2- the spectral function $P(\mathbf{p}, E)$

- we analyzed different model for $P(\mathbf{p}, E)$ for $^{16}\text{O}(\nu_e, e^-)$

realistic spectral function

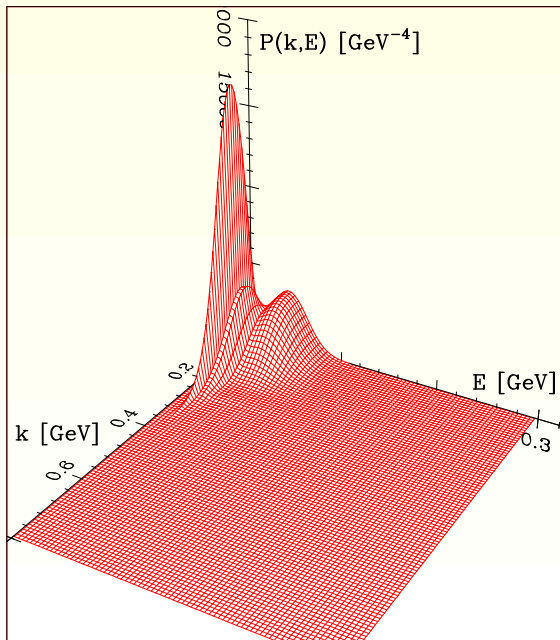
Benhar et al.,

Nucl. Phys. A 579 (1994) 493

Phys. Rev D72 (2005) 053005

- overwhelming evidence from electron scattering that the energy-momentum distribution of nucleons in the nucleus is quite different from that predicted by Fermi gas
- the most important feature is the presence of strong nucleon-nucleon (NN) correlations (virtual scattering processes leading to the excitation of the participating nucleons to states of energy larger than the Fermi energy)

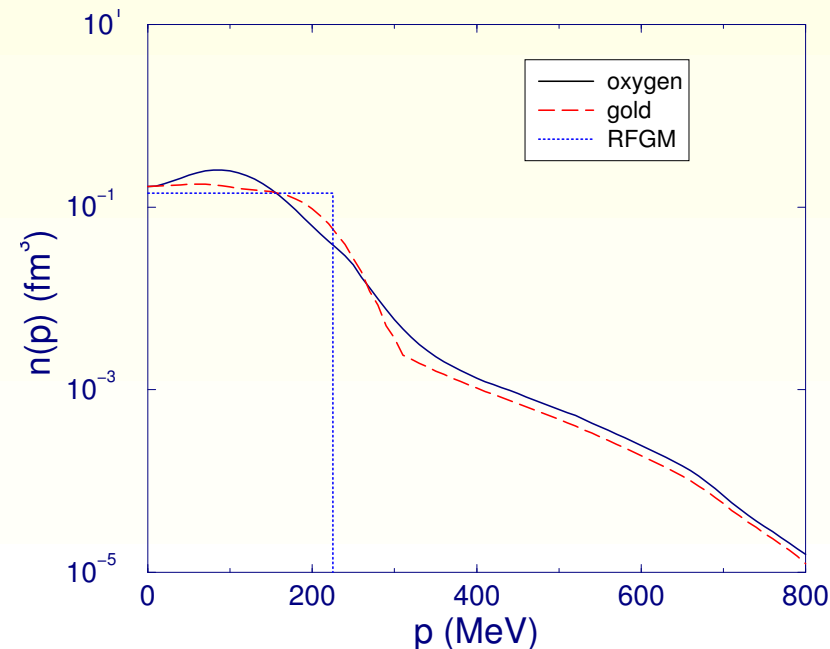
spectral function extends to $|\mathbf{p}| \gg p_F$ and $E \gg \varepsilon$



momentum
distribution

$$n(\mathbf{p}) = \int dE P(\mathbf{p}, E)$$

\Rightarrow



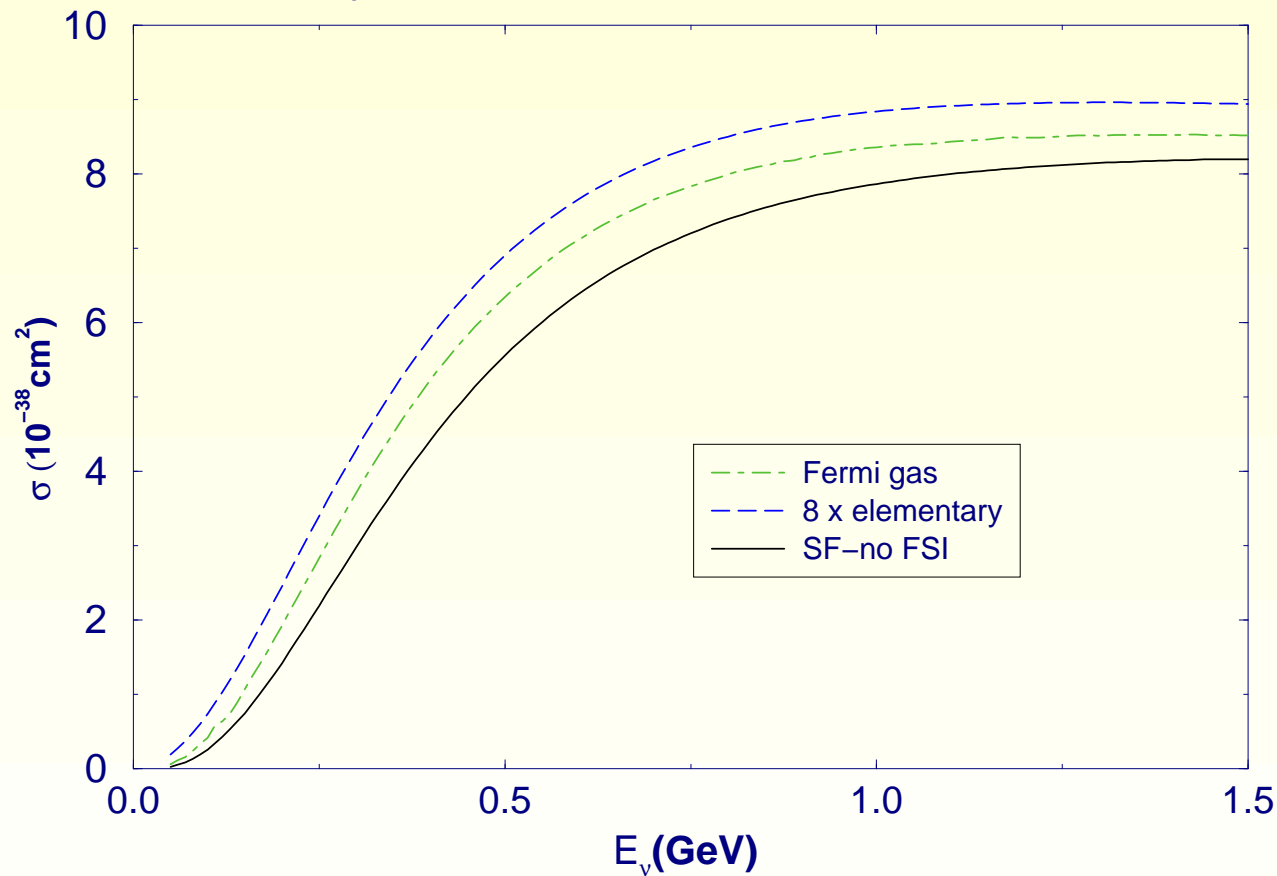
Elastic interactions

2- the spectral function $P(\mathbf{p}, E)$

- we analyzed different model for $P(\mathbf{p}, E)$ for $^{16}\text{O}(\nu_e, e^-)$

realistic spectral function Benhar et al., Nucl. Phys. A 579 (1994) 493

quasi-elastic inclusive cross section



- further reduction from binding energy and short-distance NN correlations

Elastic interactions

- realistic description must take into account interaction of struck nucleon with the spectator system



introduction of Final State Interaction (FSI)

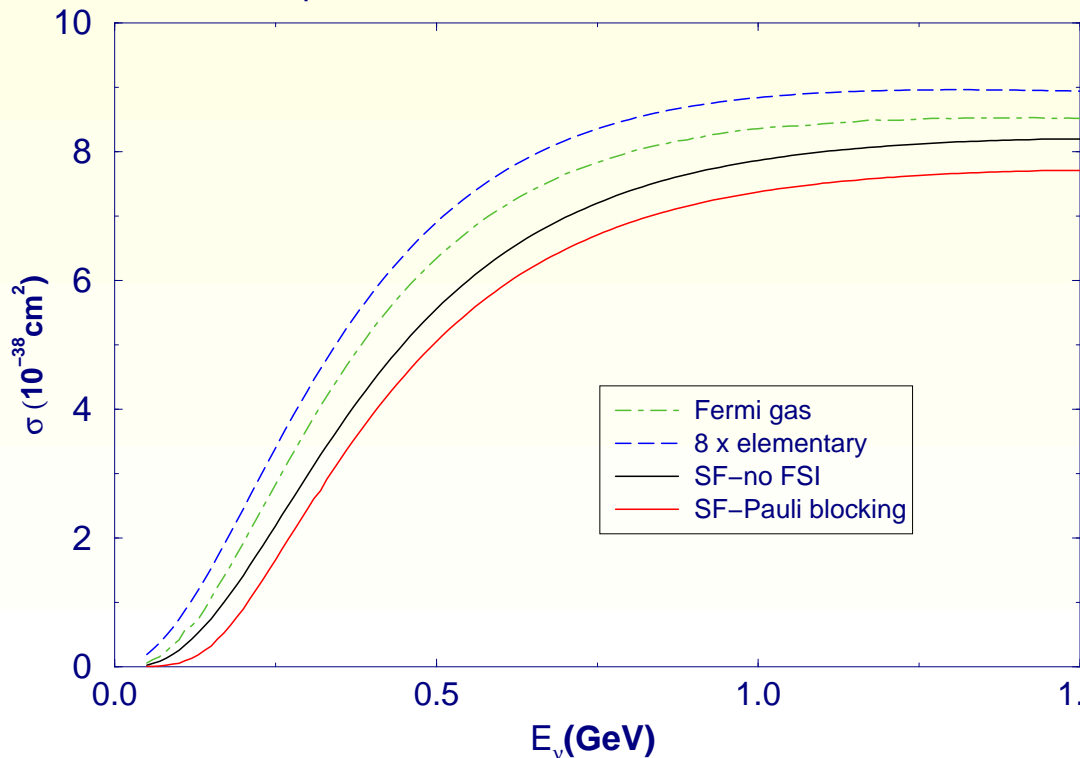
- a simple prescription to include statistical correlation: Pauli blocking

$$P(\mathbf{p}, E) \rightarrow P(\mathbf{p}, E) \theta(|\mathbf{p} + \mathbf{q}| - \bar{p}_F)$$

$$\bar{p}_F = \text{average nuclear Fermi momentum} = \int d^3 r \rho_A(\mathbf{r}) p_F(\mathbf{r}) = 209 \text{ MeV}$$

$$p_F(\mathbf{r}) = \left[3 \pi^2 \rho_A(\mathbf{r}) / 2 \right]^{1/3} \quad \rho_A(\mathbf{r}) = \text{nuclear density}$$

quasi-elastic inclusive cross section



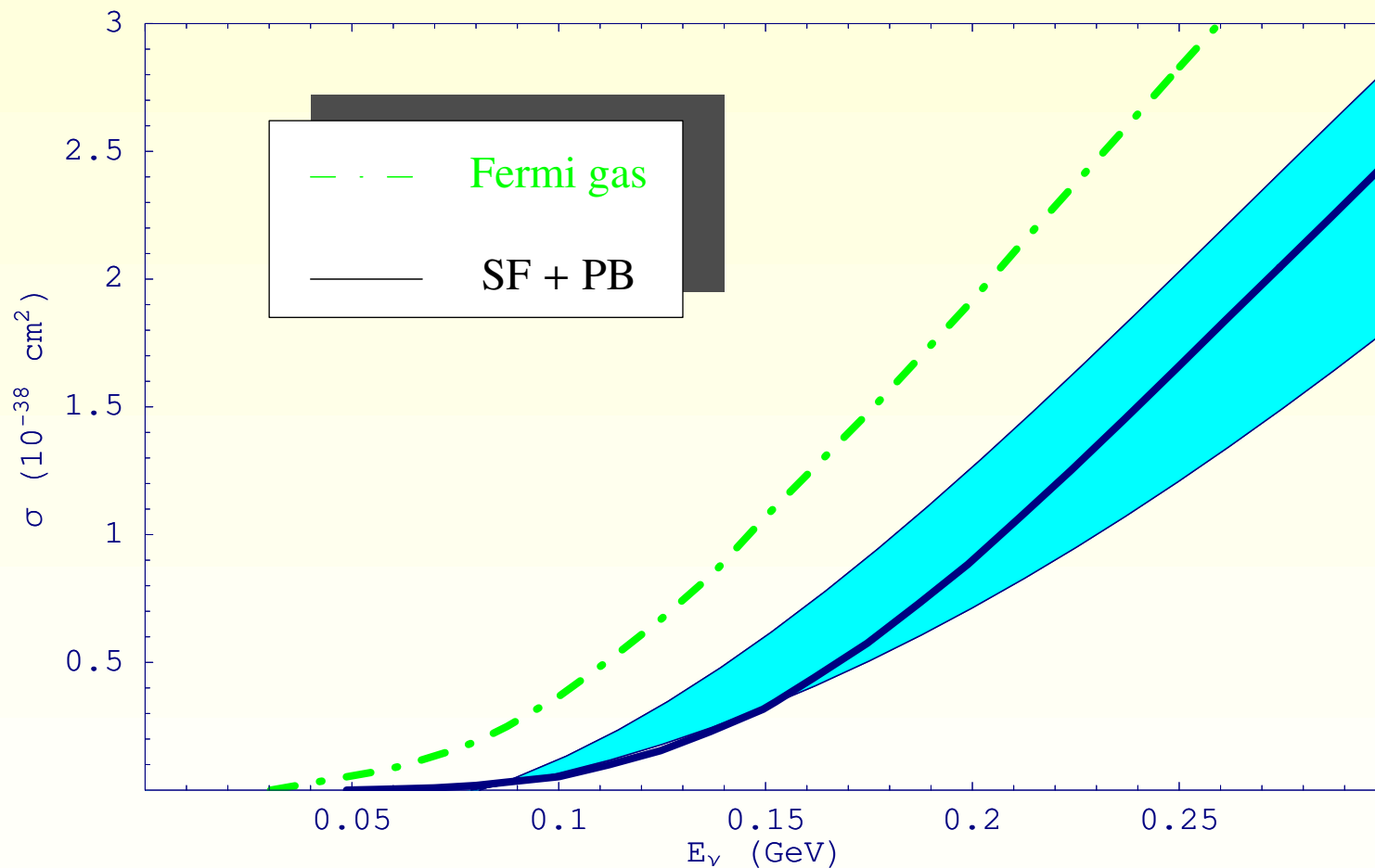
- Pauli blocking reduces the available phase space for knocked nucleon

differences between Fermi gas (with Pauli blocking) and our (red) prediction ranges from 15% to 4%

Elastic interactions

Comparison with other calculations

- most of them in the low (and very low) neutrino energy region
J.E. Amaro et al, Phys.Rev.C70:055503,2004, Erratum-ibid.C72:019902,2005:
collection of cross-sections on ^{16}O including FSI, RPA (using an effective Nucleon-Nucleon force) and Coulomb distortion

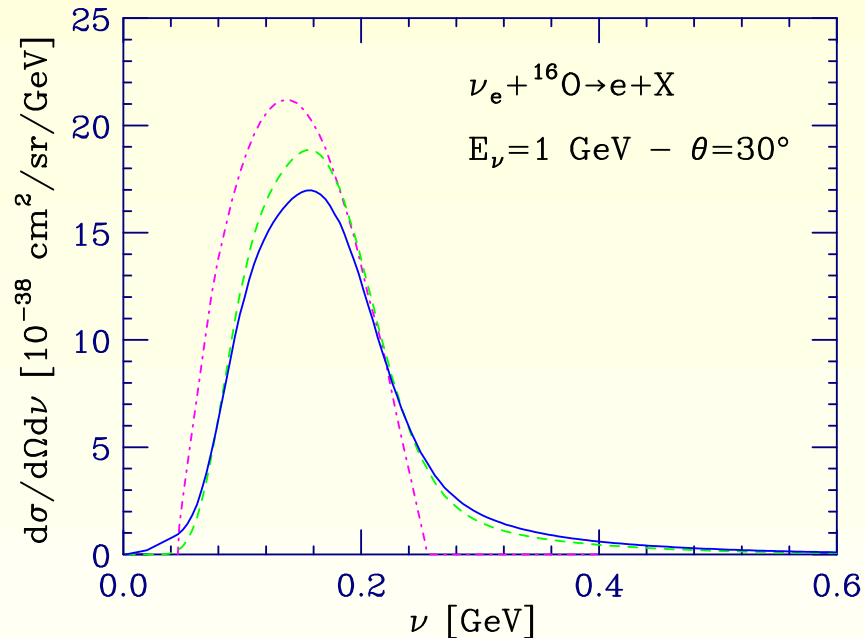


good agreement with cross sections including SF + Pauli blocking
the Fermi gas model is unsatisfactory

About Final State Interactions

In quasi-elastic inclusive processes *dynamical* FSI are weak:

- an energy shift of the differential cross section, due to the fact that the struck nucleon feels the mean field generated by the spectator particles
- a redistribution of the strength, leading to the quenching of the peak and the enhancement of the tails



- dashed line: Impulse Approximation
 - solid line: Impulse Approximation + FSI
 - dotted line: Fermi Gas
- Benhar et al., Phys. Rev D72 (2005) 053005



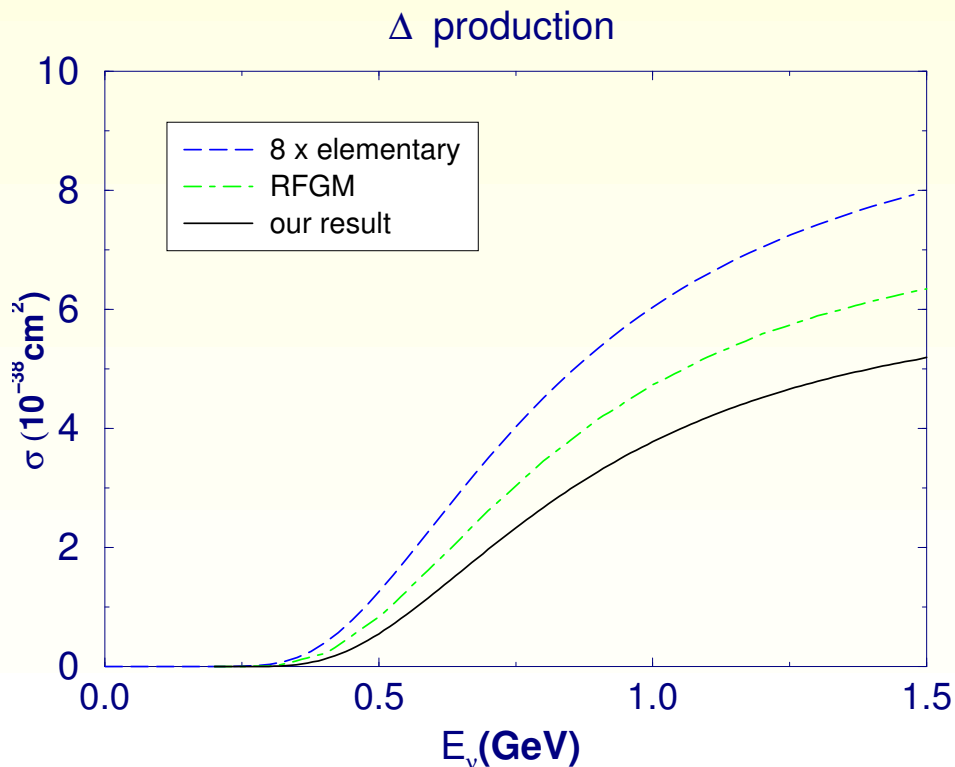
FSI do not affect the total inclusive cross section, resulting from integration over the lepton variables

Resonance production

- we are interested in the reactions $\nu n \rightarrow l^- \Delta^+$ and $\nu p \rightarrow l^- \Delta^{++}$

we use the same formalism as above where:

- the coefficients A_i are the same
- structure functions and form factors (magnetic dominance approximations) for $\langle \Delta^{++} | J_\mu | p \rangle$ are taken from Lalakulich et al., Phys Rev. D 71, 074003 (2005)
- we used isospin relation to obtain $\langle \Delta^{++} | J_\mu | p \rangle = \sqrt{3} \langle \Delta^+ | J_\mu | n \rangle$



- strong reduction with respect to both the elementary and RFGM calculations
- at $E_\nu = 1$ GeV

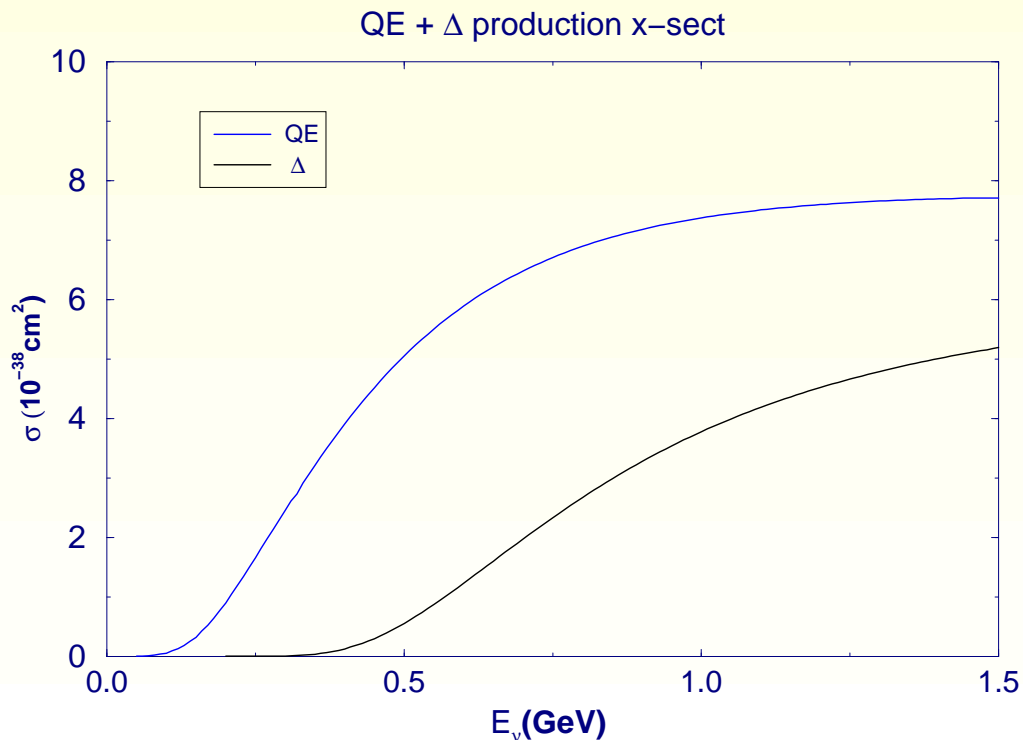
$$\sigma_{SF} / \sigma_{RFGM} \sim 0.8$$

Resonance production

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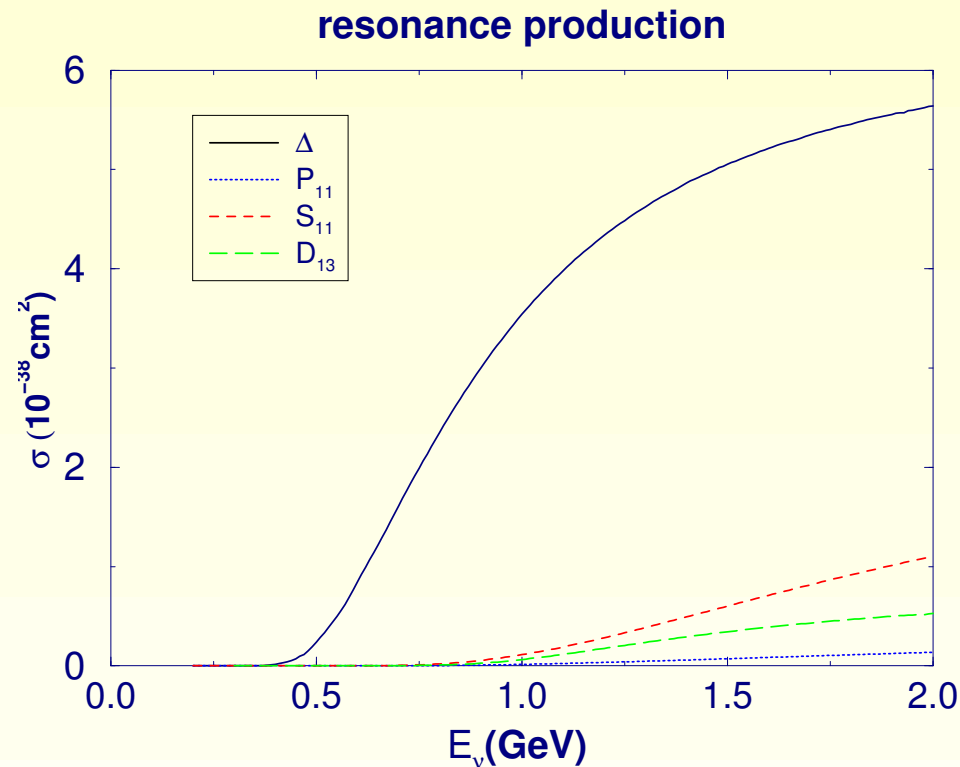
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- contribution from Δ production turns out to be important for $E_\nu > 0.5$ GeV

Resonance production

- we also evaluate the impact on the cross section of including higher resonances
Lalakulich et al., Phys.Rev. D74,014009 (2005)
- three isospin 1/2 states: $P_{11}(1440)$, $D_{13}(1520)$, $S_{11}(1535)$

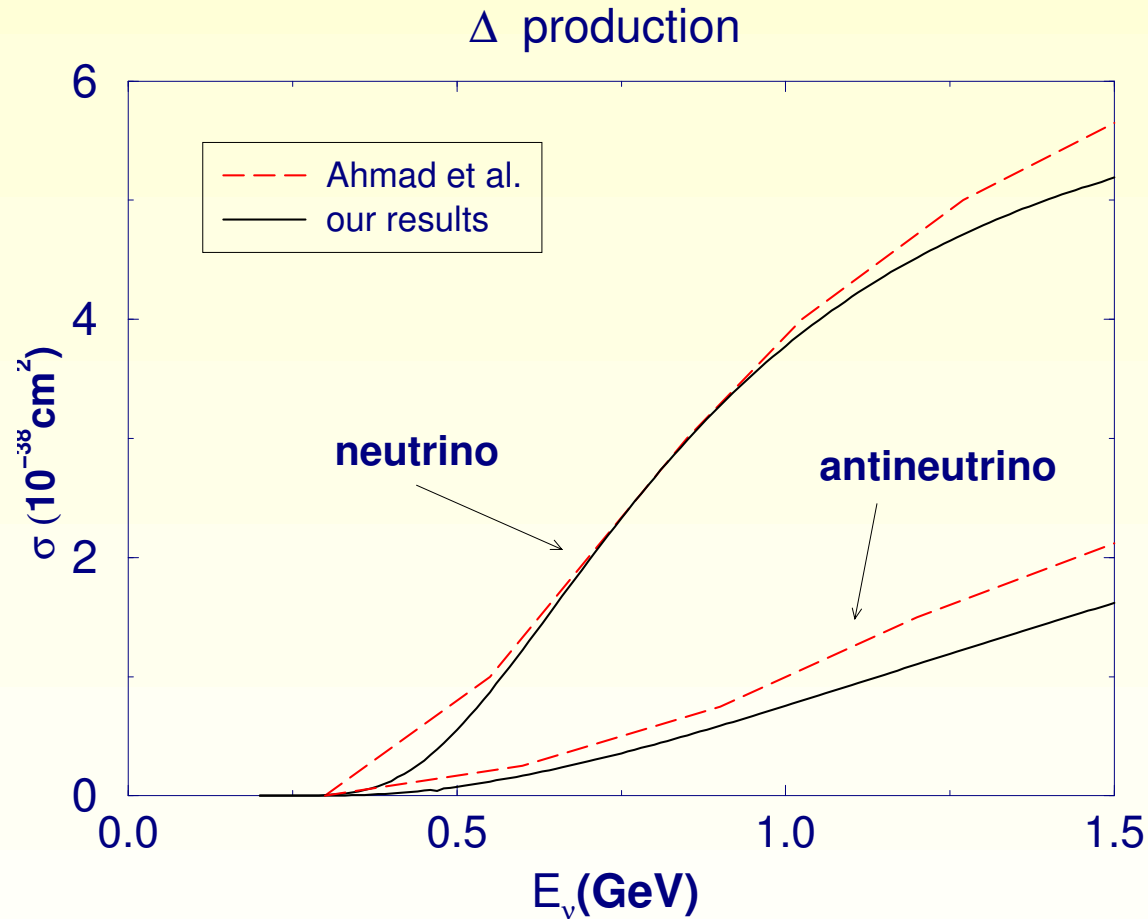


- as expected at the energies under discussion the second resonance region can be neglected
- $\sigma_\Delta : \sigma_{S_{11}} : \sigma_{D_{13}} : \sigma_{P_{11}} = 1 : 0.12 : 0.06 : 0.02$ at $E = 1.5$ GeV

Resonance production

Comparison with other calculations

- many calculations are devoted to exclusive pion production
- we compare our results with those of S. Ahmad et al., [nucl-th/0603001](#)



- the results seem to be in agreement

Comparison with experimental data

preliminary results

- from our results and standard isospin analysis we can estimate the cross section for $1 \pi^+$

$$\begin{aligned}\mathcal{A}(\nu_\ell + p \rightarrow \ell^- + p + \pi^+) &= \mathcal{A}_3 \\ \mathcal{A}(\nu_\ell + n \rightarrow \ell^- + n + \pi^+) &= \frac{1}{3} \mathcal{A}_3 + \frac{2\sqrt{2}}{3} \mathcal{A}_1 \\ \mathcal{A}(\nu_\ell + n \rightarrow \ell^- + p + \pi^0) &= -\frac{\sqrt{2}}{3} \mathcal{A}_3 + \frac{2}{3} \mathcal{A}_1\end{aligned}$$

\mathcal{A}_3 is the amplitude for the isospin $3/2$ state of the πN system (predominantly the Δ)

\mathcal{A}_1 is the amplitude for the isospin $1/2$ state.

↓

$$\sigma_{\pi^+} = \frac{10}{9} \sigma_{\Delta^{++}} + \frac{8}{9} (b_1 \sigma_{P_{11}} + b_2 \sigma_{D_{13}} + b_3 \sigma_{S_{11}})$$

b_i are branching ratios for π^+

Comparison with experimental data

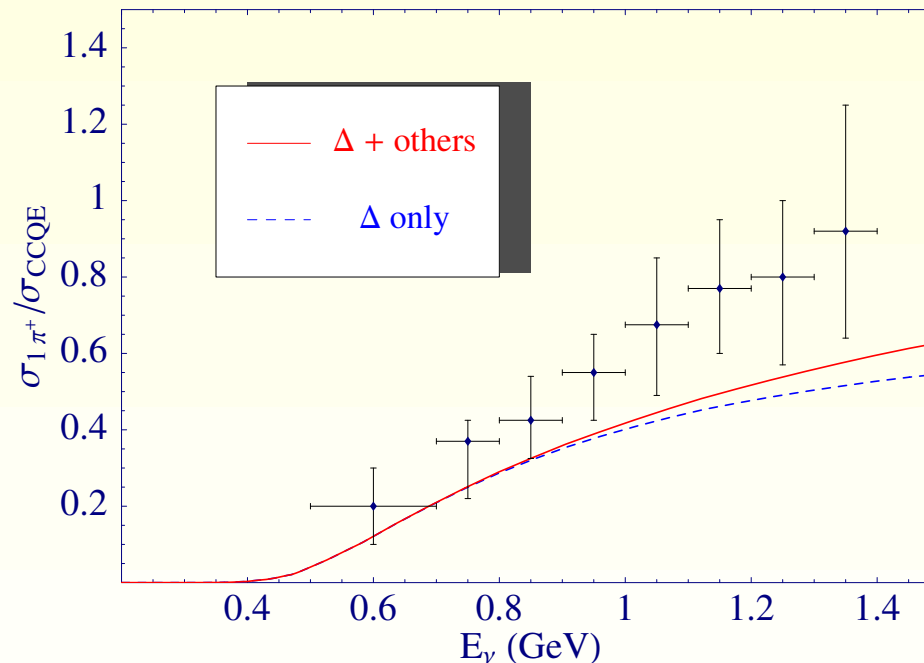
preliminary results

- from our results and standard isospin analysis we can estimate the cross section for $1\pi^+$
- the MiniBooNE collaboration has presented a preliminary measurement of

$$R = \frac{\sigma_{\pi^+}}{\sigma_{CCQE}}$$

in $(\nu_\mu, {}^{12}\text{C})$ production

Wasko et al., Nucl.Phys.Proc.Suppl.159:50-55,2006



- theoretical curves underestimate the (preliminary) data. Effects due to non-resonant background and coherent pion production could be sizeable

Conclusions and outlooks

- the ν -nucleus cross-sections in IA regime with realistic spectral function have been discussed
- at $E_\nu \sim \mathcal{O}(1 \text{ GeV})$ the quasi-elastic x-section with spectral function is lower than the widely applied Fermi Gas models; the inclusion of Pauli blocking further reduces the cross section
- the contribution of Δ -production is not negligible
- other resonances have been added, resulting in a $\sim 10\%$ contribution to the resonance production cross section
- our results seem to be in agreement with other calculations
- future prospects:
 - inclusion of exclusive channels, both in the QE and resonance production regions
 - proper treatment of FSI (for differential CC x-sect and π -rescattering)
 - inclusion of non-resonant pion production

backup slides

Elastic Structure Functions and Form Factors

- structure functions

$$W_1 = 2 \left[-\frac{q^2}{2} (F_1 + F_2)^2 + \left(2 m_N^2 - \frac{q^2}{2} \right) F_A^2 \right]$$

$$W_2 = 4 \left[F_1^2 - \left(\frac{q^2}{4 m_N^2} \right) F_2^2 + F_A^2 \right]$$

$$W_3 = -4 (F_1 + F_2) F_A$$

$$W_4 = -2 \left[F_1 F_2 + \left(2 m_N^2 + \frac{q^2}{2} \right) \frac{F_2^2}{4 m_N^2} + \frac{q^2}{2} F_P^2 - 2 m_N F_P F_A \right]$$

$$W_5 = \frac{W_2}{2}$$

- form factors

$$\tau = \frac{q^2}{4 m_N^2} \quad G_E = \frac{1}{\left(1 - \frac{q^2}{M_V^2} \right)^2} \quad G_M = 4.71 G_E$$

$$F_1 = \frac{1}{1 - \tau} (G_E - \tau G_M) \quad F_2 = \frac{1}{1 - \tau} (-G_E + G_M)$$

$$F_A = -\frac{1.26}{\left(1 - \frac{q^2}{M_A^2} \right)^2} \quad F_P = -\frac{1.28}{\left(1 - \frac{q^2}{0.14} \right)^2} \frac{F_A}{-1.27}$$

Anelastic Structure Functions and Form Factors

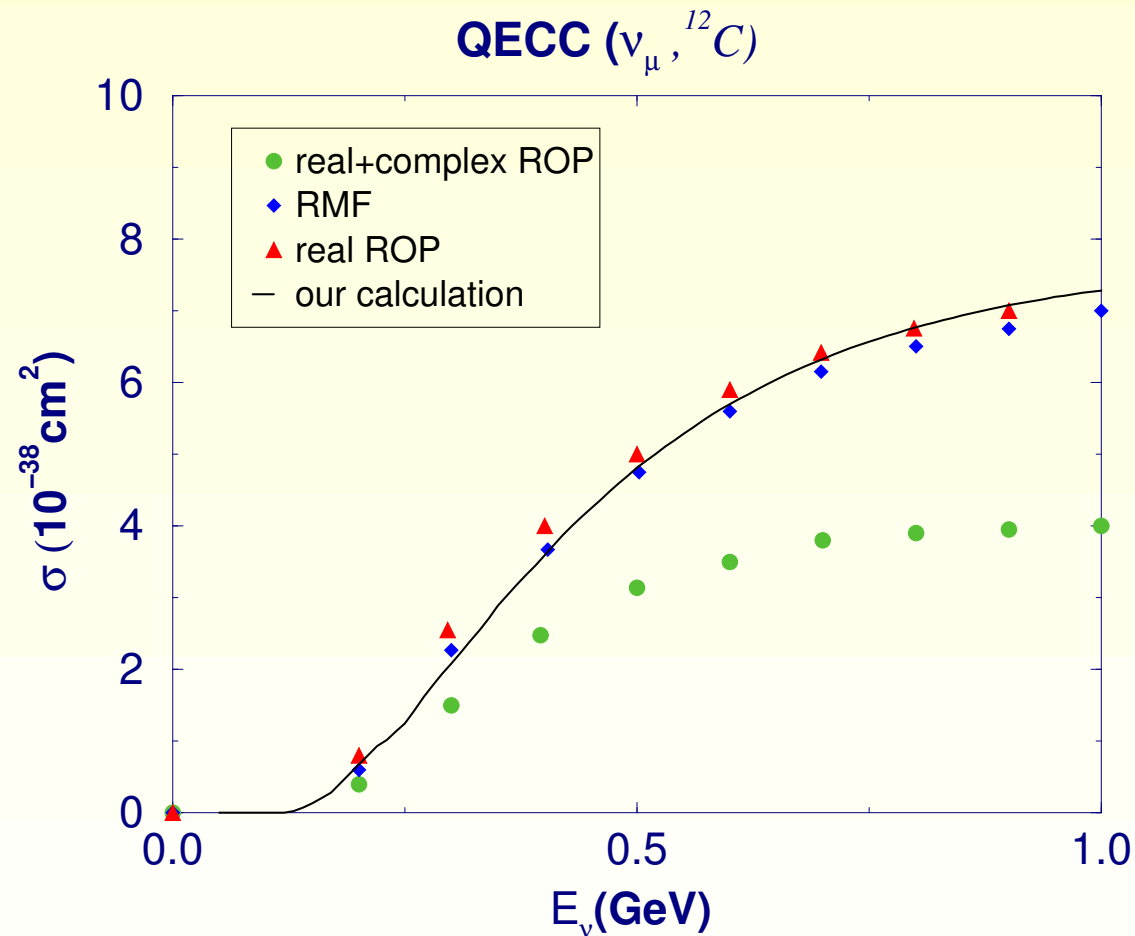
- structure functions are complicated functions of form factors
- detailed formulae in:
Lalakulich et al., Phys.Rev. **D71**, 074003 (2005) and
Lalakulich et al., Phys.Rev. **D74**, 014009 (2006)
- form factors for Δ -production

$$\begin{aligned}
 f_\pi &= 0.97 m_\pi & g_D &= 15.3 & M_V &= 0.84 & M_A &= 1.05 \\
 C_3^V(0) &= 1.95 & C_3^V(q^2) &= \frac{C_3^V(0)}{\left(1 - \frac{q^2}{M_V^2}\right)^2} \frac{1}{\left(1 - \frac{q^2}{4 M_V^2}\right)} \\
 C_4^V(q^2) &= -C_3^V(q^2) \frac{m_N}{W} & C_5^V &= 0 \\
 C_5^A(0) &= \frac{f_\pi g_D}{\sqrt{3}} & C_3^A &= 0 & C_5^A(q^2) &= \frac{C_5^A(0)}{\left(1 - \frac{q^2}{M_A^2}\right)^2} \frac{1}{\left(1 - \frac{q^2}{3 M_A^2}\right)} \\
 C_4^A(q^2) &= -\frac{C_5^A}{4} & C_6^A(q^2) &= C_5^A \frac{m_N^2}{-q^2 + m_\pi^2}
 \end{aligned}$$

Elastic interactions

Comparison with other calculations

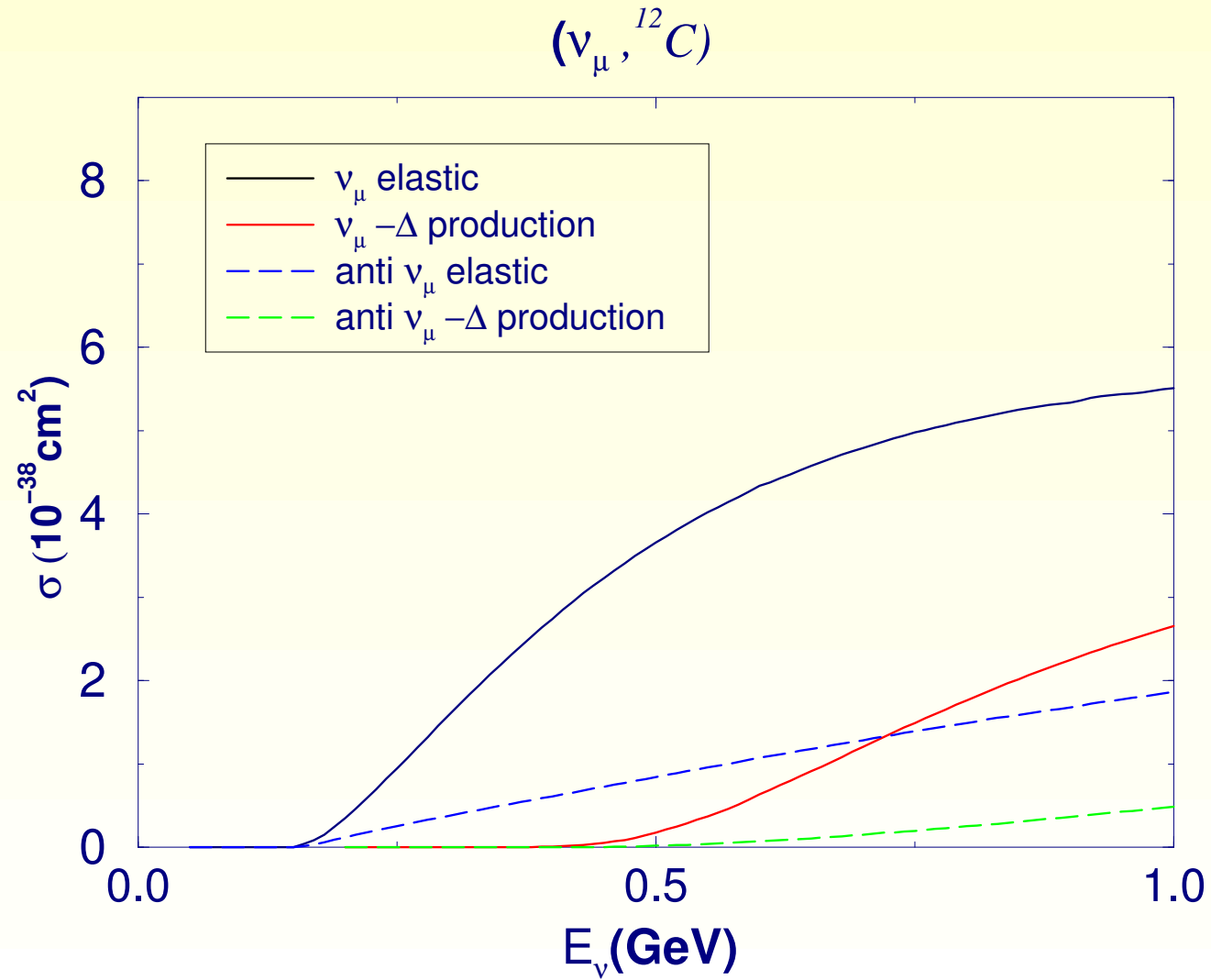
- different approach followed by C. Maieron et al., nucl-th/0303075
Impulse approximation to describe QE scattering +
Relativistic Shell Model to describe the bound nucleon +
Relativistic Optical Potential (ROP) or Relativistic Mean Field (RMF) to account for FSI



good agreement with cross sections including SF + Pauli blocking

$$(\nu_\mu, {}^{12}\text{C})$$

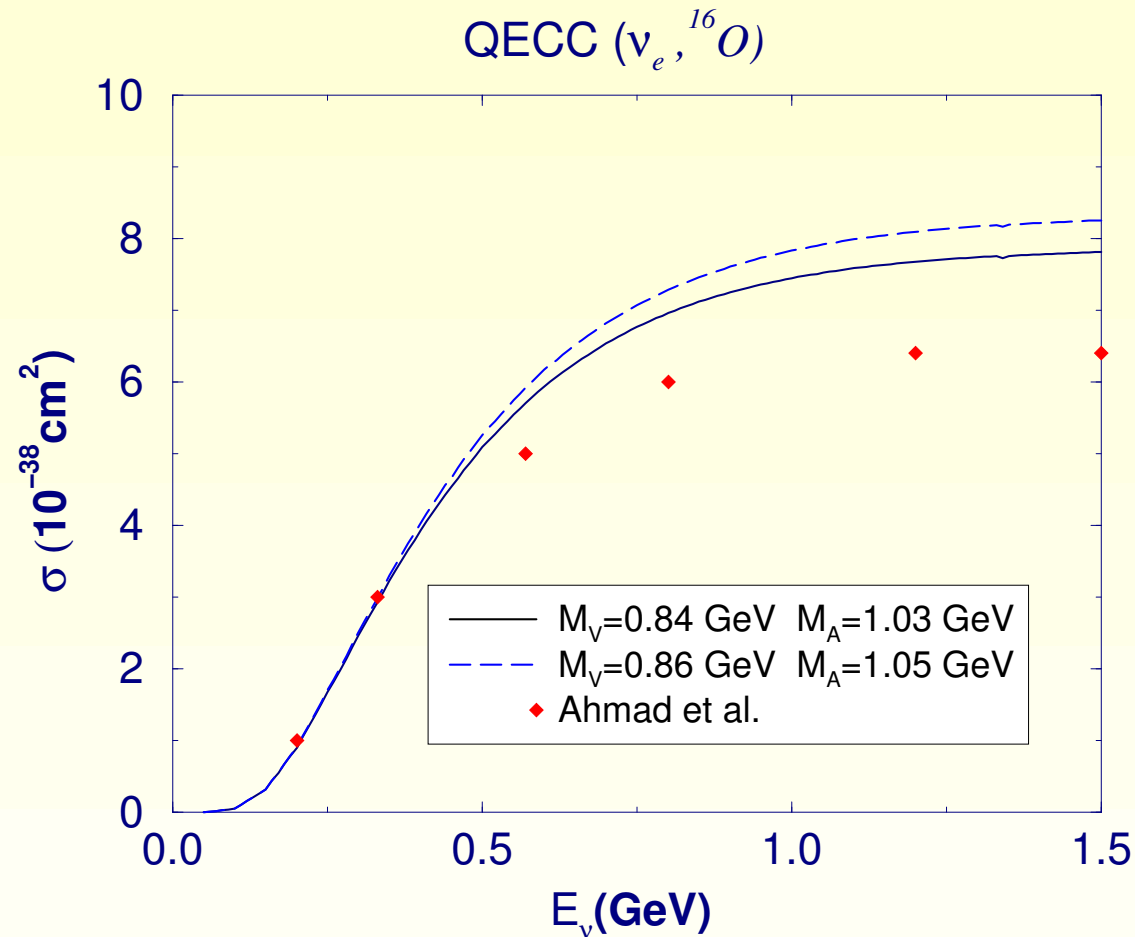
cross section of muon neutrinos on Carbon



Elastic interactions

Comparison with other calculations

- the extension at higher energies: S. Ahmad et al., nucl-th/0603001



- we tried with two different vector and axial vector masses
- some discrepancy is found at energies $E_\nu > 0.5 \text{ GeV}$ not completely understood

Some detail of the spectral function calculation

$$\begin{aligned} \langle 0 | J^\mu | X \rangle &= \frac{m}{\sqrt{\mathbf{p}_{\mathcal{R}}^2 + m^2}} \langle 0 | \mathcal{R}, \mathbf{p}_{\mathcal{R}}; N, -\mathbf{p}_{\mathcal{R}} \rangle \\ &\times \sum_i \langle -\mathbf{p}_{\mathcal{R}}, N | j_i^\mu | x, \mathbf{p}_x \rangle, \end{aligned}$$

$$\begin{aligned} W_A^{\mu\nu} &= \sum_{x, \mathcal{R}} \int d^3 p_{\mathcal{R}} d^3 p_x |\langle 0 | \mathcal{R}, \mathbf{p}_{\mathcal{R}}; N, -\mathbf{p}_{\mathcal{R}} \rangle|^2 \frac{m}{E_{\mathbf{p}_{\mathcal{R}}}} \\ &\times \sum_i \langle -\mathbf{p}_{\mathcal{R}}, N | j_i^\mu | x, \mathbf{p}_x \rangle \langle \mathbf{p}_x, x | j_i^\nu | N, -\mathbf{p}_{\mathcal{R}} \rangle \\ &\times \delta^{(3)}(\mathbf{q} - \mathbf{p}_{\mathcal{R}} - \mathbf{p}_x) \delta(\nu + E_0 - E_{\mathcal{R}} - E_x), \end{aligned}$$

$$\begin{aligned} P(\mathbf{p}, E) &= \sum_{\mathcal{R}} |\langle 0 | \mathcal{R}, -\mathbf{p}; N, \mathbf{p} \rangle|^2 \\ &\times \delta(E - m + E_0 - E_{\mathcal{R}}), \end{aligned}$$

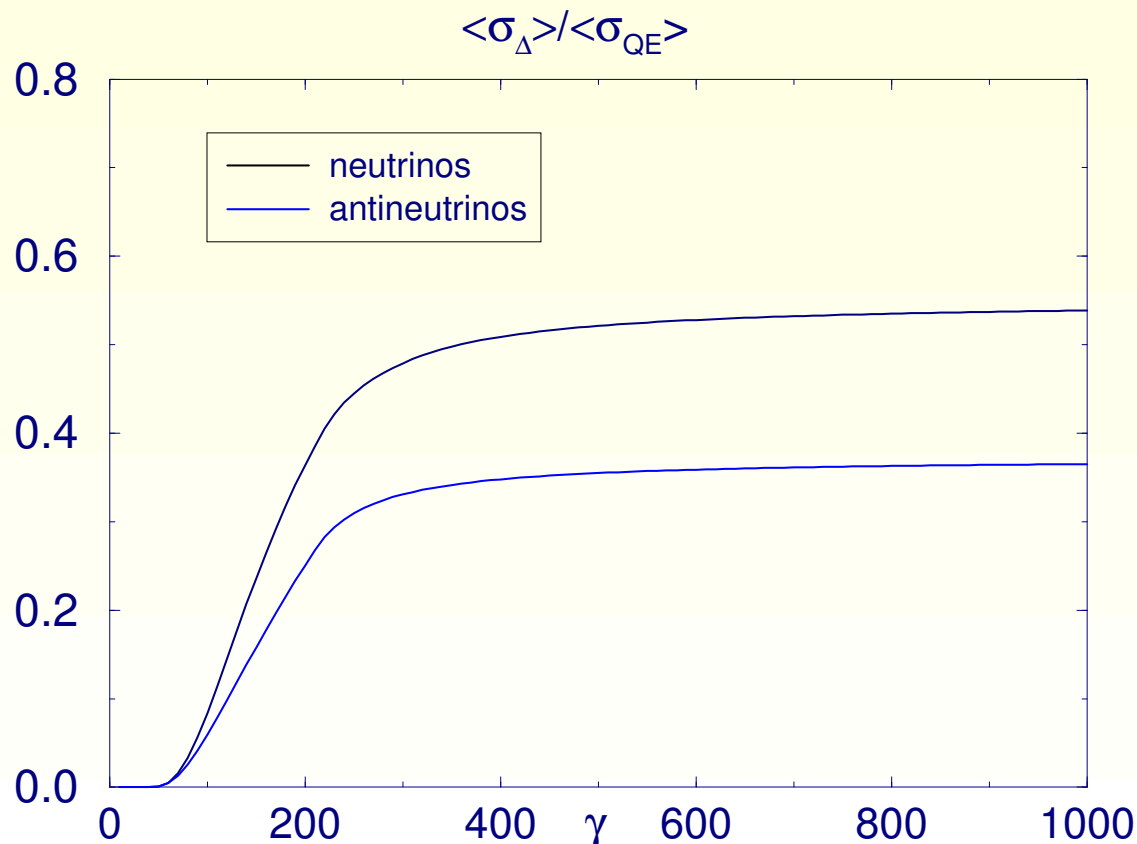
in which E_0 is the energy of the initial hadronic state. The other variables have been already introduced

Resonance production

- to evaluate the relative importance of QE and Δ -production at β -Beams, we compute (fluxes from J. Burguet-Castell et al., Nucl.Phys.B695:217-240,2004)

$$\bar{\sigma}_i(\gamma) = \frac{\int dE_\nu \Phi_{\nu_e}(E_\nu, \gamma) \sigma_i(E_\nu)}{\int dE_\nu \Phi_{\nu_e}(E_\nu, \gamma)} \quad i = QE, \Delta$$

and plot the ratio $\sigma_\Delta/\sigma_{QE}$ as a function of the boost factor γ



- the contribution of Δ to the total cross section becomes constant at $\gamma > 600$
- $\bar{\sigma}_\Delta / \bar{\sigma}_{QE} \sim 0.52$ for ν
- $\bar{\sigma}_\Delta / \bar{\sigma}_{QE} \sim 0.35$ for $\bar{\nu}$